



**LEGISLATIVE
ACTION CAMPAIGN**



2020 Legislative Annual Report

LETTER FROM NTRA

Thank you to all who supported the NTRA's federal legislative efforts last year in the face of tremendous challenges brought about by the COVID-19 pandemic. Each of us was affected in some way but the horse racing industry rallied and patched together race meets, horse sales, the Breeders' Cup and even the Triple Crown races were all eventually run. Horse racing's resilience and resourcefulness were evident in 2020.

The NTRA's federal legislative team also had to adjust its strategy during the year as COVID-19 emergency relief legislation became an early priority on Capitol Hill. Farms, racetracks and other industry businesses benefited from COVID-related legislation through tax benefits, Emergency Injury Disaster Loans, the Main Street Lending Program, the Paycheck Protection Program, the Employee Retention Payroll Tax Credit and more. For its part, the NTRA ensured that the industry was not left behind as federal lawmakers crafted these important pieces of legislation.

Another major focus for horse racing was the development and ultimate passage of the Horseracing Integrity and Safety Act (HISA). This years-long effort culminated in December when HISA was passed as part of COVID-19 relief and government funding legislation. See the Legislative Summary section starting on page 2 for more information on HISA and other federal legislative matters affecting the industry.

Last year was difficult but the industry, as it always does, endured. Those who contributed to the NTRA's Legislative Action Campaign (LAC) fundraising initiative through the ¼% Check-off Program and other LAC programs played an important part - thanks again.

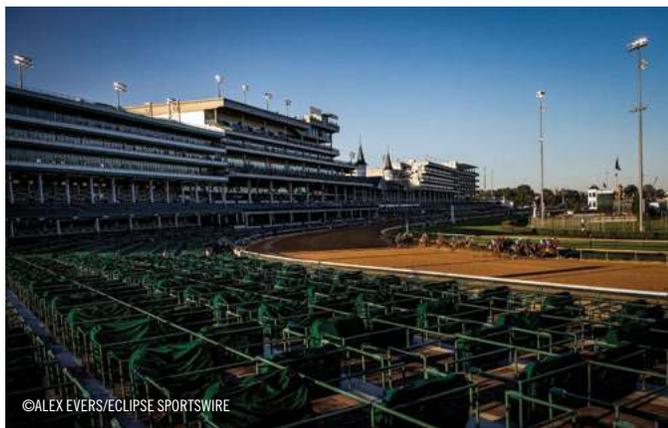
With Appreciation,



Joe Bacigalupo
Sr. Director of Government Relations & Membership Development

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Cover photo: 2020 Eclipse Award-winning photo, courtesy of Alex Evers.

NTRA MISSION STATEMENT

The NTRA is a broad-based coalition of horseplayers, racetrack operators, owners, breeders, trainers and affiliated associations committed to increasing the welfare, integrity and popularity of Thoroughbred racing through consensus-based leadership, safety and integrity initiatives, legislative advocacy, corporate partner development and fan engagement.

LEGISLATIVE SUMMARY

As the trade association for the Thoroughbred industry, NTRA focuses its lobbying on legislation having a direct and material effect on its members and their business activities as they relate to horse racing, breeding and pari-mutuel wagering.

With the American Horse Council (AHC), the NTRA also supports Congressional education programs on issues such as agricultural matters and immigration, which affect the horse industry as a whole. Below is a summary of the major legislative issues for horse racing in the first half of the 2021/2022 Congress.

Horseracing Integrity and Safety Act—Passed

At the end of last year, after years of industry work to find a consensus approach to nationwide anti-doping and medication control as well as a national approach to racetrack safety, an amended and expanded version of the Horseracing Integrity Act of 2019 (H.R. 1754) entitled the Horseracing Integrity and Safety Act of 2020 (S. 4547) (HISA) was introduced on September 9, 2020, by Senate Majority Leader Mitch McConnell (R-KY). On that same day, H.R. 1754 was amended with a committee substitute mirroring the language of S. 4547. The full House passed H.R. 1754 on September 29, 2020 and sent it to the Senate for consideration. On December 21, 2020, on a 359-53 vote in the House and 92-6 in the Senate, Congress passed a \$900 billion COVID-relief package and \$1.4 trillion government funding package in a 5000+ page bill known as the Consolidated Appropriations Act, 2021 (the "Omnibus Act"). The Omnibus Act incorporated the full text of S. 4547. The president signed the measure into law on Dec. 27.

HISA calls for the creation of a private, independent, self-regulatory entity for the purposes of developing and implementing a horseracing anti-doping and medication control program and a racetrack safety program known as the Horseracing Integrity and Safety Authority (the "Authority"). The Board of Directors of the Authority ("Board") will be comprised of nine members: five independent members and four industry members. All Board members will be subject to strict conflict of interest rules.

There will be three standing committees that will be established at the outset of the development of the Authority—the Anti-Doping and Medication Control Standing Committee, the Racetrack Safety Standing Committee, and the Nominating Standing Committee. Each standing committee will be made up of seven members. The Anti-Doping and Medication Control Standing Committee and the Racetrack Safety Standing Committee will provide advice and guidance to the board on the development of rules and regulations under the Authority's jurisdiction. The Nominating Standing Committee will be responsible for nominating the initial Board and recommending

nominees for Board and Standing Committee positions going forward.

The Federal Trade Commission (the "Commission") will have oversight of the Authority. The Authority will submit to the Commission any proposed rules and modifications to rules and the Commission shall publish the proposed rule and provide interested persons opportunity to comment. The Commission shall approve a proposed rule if it finds that it is consistent with the requirements of the HISA and the rules and regulations of the Commission.

The Authority will contract with the U.S. Anti-Doping Agency ("USADA") for services consistent with the horseracing anti-doping and medication control program for the initial five years of the program. Recommendations for the anti-doping and medication control program shall be developed by the anti-doping and medication control standing committee, in consultation with USADA. State racing commissions will still have a role under the Act. For example, the Authority may contract with state racing commissions regarding enforcement activities for the racetrack safety program and, with the concurrence of USADA or its successor, the anti-doping and medication control program.

There is a prohibition on the administration of any prohibited substance to a horse within 48 hours of its next racing start; however, state racing commissions may request an exemption for a period of three years from the effective date of the Authority on a furosemide restriction except for two-year-old races and stakes races. The Authority shall convene an advisory committee to conduct a study on the use of furosemide on horses during the 48-hour period before the start of a race. The report must be submitted to the Authority within three years following the program effective date. Following the study, the Authority may, by unanimous vote of the Board, choose to modify, subject to established criteria, the prohibition of substances within the 48-hour period leading up to covered races.

In the development of the horseracing safety program, the Authority and the Commission shall take into consideration existing safety standards. The Authority shall also develop and maintain a nationwide database of racehorse safety, performance, health, and injury information.

Using procedures established by the Authority, the Authority will identify violations of safety and/or medication standards, investigate any such violations, determine if a violation occurred and sanction a track, jockey, breeder, or owner (stewards' hearing or the equivalent). Within 30 days of the notice being filed with the Commission, the sanctioned party may petition for a review of the Authority's decision. An administrative law

judge within the Commission conducts a hearing and renders a decision within 60 days. The Commission may, on its own motion, review any decision of the ALJ by giving notice thereof to the Authority and interested parties.

Three-year Depreciation of Racehorses - Matching Deductions with Income

In the 2008 Farm Bill, NTRA secured a provision that allowed for the uniform and accelerated depreciation of Thoroughbreds. The provision subsequently carried forward in the 2015 Protecting Americans from Tax Hikes (PATH) Act, which extended three-year tax depreciation for all racehorses through 2016. That provision, along with dozens of others, was extended to 2017 on February 9, 2018, when President Trump signed into law the Bipartisan Budget Act, a bill that among other measures extended uniform three-year racehorse depreciation retroactively for 2017.

Unfortunately, Congress did not renew three-year depreciation for 2018 as part of the Tax Cuts and Jobs Act (TCJA) passed in December 2017, which meant a seven-year schedule would now apply to yearlings in some cases. The TCJA did include 100% bonus depreciation and a \$1 million Section 179 expense allowance for qualified depreciable property, two important investment incentives that lessened the need for three-year depreciation in many cases. However, three-year depreciation continued to be a beneficial option for many owners of young race horses.

Three-year depreciation benefits horse owners because many are not eligible for Section 179 expensing due to the net income requirement and choose to elect out of the 100% bonus depreciation. One of the main reasons to elect out of the bonus depreciation is to better match the deductions with corresponding income. A 3-year life (depreciated over a 4-year period) is more realistic for a horse's racing career versus the 7-year life (depreciated over 8 years). Three-year depreciation is valuable to racehorse owners, especially racing partnerships with multiple passive owners, as it better aligns deductions with corresponding income opportunities on an annual basis.

After passage of the TCJA, the horse industry now relies upon legislation known as tax extenders, which is an annual bill used to renew dozens of temporary or expiring tax provisions for individuals and businesses at the end of the year. It is now typical that one of the extender provisions is three-year depreciation of racehorses. As we have done several times over the past few years, the NTRA, the American Horse Council (AHC) and many others representing diverse business, energy, transportation, real estate and agriculture sectors, sought a legislative vehicle to address tax extenders in 2020. We asked that the House and Senate retroactively extend three-year depreciation through 2021. In December, the Consolidated Appropriations Act of 2021 (the "Omnibus Act") became law, which included among its

many sections a section that extended several individual and business federal income tax provisions including three-year tax depreciation for all racehorses through the end of 2021.

Because three-year depreciation is important for horseracing, the NTRA will continue to advocate for passage of extenders in 2022 as we work toward a longer-term or permanent legislative resolution.

Sports Betting—the Next Frontier

In May of 2018, the U.S. Supreme Court overturned the Professional and Amateur Sports Protection Act (PASPA) in the landmark *Murphy v. National Collegiate Athletic Association* (NCAA) decision. Previously, PASPA banned all but 4 states from legalizing sports gambling. Several states have acted quickly to implement sports gambling at the state level. At the federal level, during the final days of 2018, Sens. Orrin Hatch (R-UT) and Chuck Schumer (D-NY), introduced the "Sports Wagering Market Integrity Act of 2018," a bill designed to grant significant federal control over sports betting nationwide. The proposal's central feature is a provision giving the U.S. Department of Justice authority to approve or deny a state sports betting program.

While no sports betting legislation has been introduced in the 117th Congress, the NTRA continues to assess the potential threats and opportunities that such legislation would present and is engaged in conversations with its Congressional allies and other stakeholders, notably the American Gaming Association (AGA), which supported a full repeal of PASPA. We remain on the lookout for activity on Capitol Hill regarding this matter in 2021. The NTRA plans to be at the table as it has been on all previous Internet and sports betting issues for the past 15 years.

Credit Cards—ADW's Lifeline Endangered

After the industry secured an amendment to the Interstate Horseracing Act (IHA) in 2000 to enable online wagering, advance deposit wagering (ADW) has grown to roughly \$4 billion, accounting in 2020 for almost all of U.S. pari-mutuel handle due to the COVID-19 pandemic.

Since the latter half of 2017, our Congressional allies have met with representatives from four major credit card issuing banks who have disallowed ADW transactions on their cards, stressing the importance of the ADW sector and the economic significance of the equine industry.

In 2018, the NTRA announced that JPMorgan Chase & Co., the largest bank in the United States and the largest credit card issuer in the U.S. with more than 80 million active credit cardholder accounts, has begun approving credit card deposit transactions with U.S. licensed and regulated advance deposit wagering companies.

The decision by Chase to accept its cardholders' account funding transactions with ADWs approved to use the industry's 7802 merchant category code is believed to have had a significant and positive impact on overall U.S. pari-mutuel handle, particularly advance deposit wagering, which already is the fastest growing segment of the U.S. pari-mutuel wagering market.

Working with our allies on Capitol Hill, in 2019 NTRA and its allies on the Hill are using Chase's leadership on this issue to convince other leading credit card issuing banks to accept these types of transactions. The NTRA will continue its advocacy efforts on behalf of industry ADWs in 2021. NTRA will also seek a legislative solution in the form of language to clarify that the Wire Act of 1961 (aimed at racketeering) does not apply to transactions made permissible by the Interstate Horseracing Act.

Immigration—H-2B Visa Caps are Too Low

Once again in Fiscal Year 2021 (October 1, 2020-September 30, 2021), a key objective of the horse racing industry and many other industries is to insert language into the Department of Homeland Security ("DHS") appropriations section of the FY2021 Appropriations Bills to provide temporary relief from the lack of a sufficient number of H-2B visas to meet the needs of employers nationwide. This lack of visas is the result of a numerical limit, or "cap," on the total number of foreign nationals who may be issued an H-2B visa or otherwise granted H-2B status during a fiscal year.

Many foreign workers are directly responsible for the care of the horses upon which the entire horse racing industry is dependent. Without these workers to raise, train, and care for the industry's racehorses, many other jobs held by Americans not only in the horse racing industry but also supported by the horse racing industry will be in jeopardy. Horse trainers rely upon the H-2B visa for seasonal foreign workers because U.S. workers decline to fill many backstretch jobs. Even though the program's caps are perennially insufficient to meet the need and the program has very strict timelines and evidentiary requirements, trainers use the program because it is the only nonimmigrant foreign worker program available to fill racetrack backstretch jobs.

Currently, Congress has set the H-2B cap at 66,000 per fiscal year, with 33,000 for workers who begin employment in the first half of the fiscal year (October 1 - March 31) and 33,000 for workers who begin employment in the second half of the fiscal year (April 1 - September 30). Any unused visas from the first half of the fiscal year are carried over to the second half of the fiscal year. Unused H-2B numbers from one fiscal year do not carry over into the next fiscal year. See 8 U.S.C. 1184(g) (1) (B), (g)(10).

In December of 2020, the Consolidated Appropriations Act of 2021 became law and included a provision that provides the DHS with the discretionary authority to release as many as 64,716 additional H-2B visas in the 2nd half of fiscal year 2021, which extends through September 30, when sufficient need is demonstrated.

Regarding the question of sufficient need, the U.S. Department of Homeland Security (DHS) announced in February that it had received enough H-2B worker petitions by February 12 to reach the congressionally mandated H-2B visa cap of 33,000 visas for the 2nd half of fiscal year 2021. As of the date of this report, the NTRA and other equine associations like the AHC, through our involvement with the H-2B Workforce Coalition, are actively supporting ongoing efforts to make the 64,716 additional H-2B visas immediately available to seasonal businesses struggling with labor issues including Thoroughbred trainers.

On March 17 of 2021, newly-appointed Secretary Mayorkas appeared before the House Department of Homeland Security committee and stated that he is studying the program and considering whether the agency should release additional visas. Mayorkas also stated that he believed that changes were needed in the H-2B program, but acknowledged they wouldn't "be accomplished in short order."

Going forward, the NTRA will continue to advocate for racing's interests in maintaining access to qualified foreign labor when domestic workers are unavailable.

2020 LEGISLATIVE ACTION CAMPAIGN CONTRIBUTORS

4M Ranch	B. W. Austin	Brian Cruz	Chris Ewing	Dark Hollow Farm
5B Farm	Baccari Bloodstock/ Seclusive Farm	Brian Doxtator	Chris Johnson	Dave Neilson
A & B Stables	Bach Stables	Brian Hille	Christopher Britton	David Buchanan
A & J Racing Stable	Back Straight Farms	Brick City Thoroughbreds	Christopher Sterbenz	David Campbell
Anthony Chaudry	Backstretch Farms	Bridie Harrison	Cicero Farms	David Charlton
A1A Racing	Bakster Farms	Bridlewood Farm	CJ Hummel Horses	David D Heim
Aaron Jones	Ballena Vista Farm	Bristol Feeney	CJZ Racing Stable	David Fawkes
Abbott Bloodstock	Ballysax Bloodstock	Broken Oaks Stables	Claiborne Farm	David Ingordo
ABPR Partnership	Bao Huang	Brookdale Farm	Clarkland	David Meah
Adam Rice	Barry B. Conrad	Bruce Lunsford	Classic Bloodstock	David Scanlon
Adrian Regan	Baseline Equine	Bruce Tallisman	Clear Creek Stud	Dawn Martin-Haught
Al Pike	Bell Bloodstock	Bruno Mathias	Cleber Massey	Daybreak Stables
Alan Brodsky	Bernie Flint	Bryan Anderson	Cliff Racing	De Savino Stable
Alan I. Kirshner	Beryl J. Stokes, Jr.	Bryan Rice	Clover Hill Farm	Deborah Holmes
Alan Quartucci	Best A Luck Farm	Buckland Sales/Millennium Farms	Coffee Pot Stables	Delehanty Stock Farm
Alejandro Gonzalez	BHMFR	Buena Madera	Colebrook Farms	Dell Ridge Farm
Alex G. Campbell, Jr.	Big Oak Farm	Burleson Farms	Colonial Farms	Denali Stud
Alex Wong	Bill Justice	Burning Daylight Farms	Colts Neck Stables	Dennis Foster
Alifye Racing	Bill Witman	Burns Thoroughbred racing	Columbiana Farm	Denny Andrews
Alison Fournogerakis	Billy Goodman	Byron and Jennifer Schunk	Columbine Stable	Derek Lawson
Alison Rakis	Birchwood Farm	C and C Stables	Commonwealth New Era Racing	Diamond Creek Farm
Allan Aldrich	Blackacre Farms	Candyland Farm	Cool Hill Farm	Dividing Ridge Farm
Alliance Bloodstock	Blackburn Farm	Carem Stables	Copper Penny Stables	Dom Felipe II
Alliance Sales	Blackridge Stables	Carl Moore Management	Corinthian Medical	Dominique Damico
Altair Farms	Blackstone Farm	Carlos & Gloria Martin	Coty Rosin	Don Danard
Amy Bayle	Blackwood Stables	Carmen Pecord	Country Life Farm	Don Sayban
Amy Elliott	Blake R. Heap	Carrington Holdings	Covington Farm	Don Tiger
Amy Jackson	Bloodstock Thoroughbreds	Cary Frommer	Craig Aguiar	Donald Cooper
Anderson Farms	Bloom Racing Stable	Casa Farms	Cres Ran Farms	Donald K Strickland
Andre F. Regard	Blue Transer	Castle Stables	Crestwood Farm	Donald Samaan
Andrew Andrews	Bluegrass Thoroughbred Services	Catnip Lane Farm	Cromwell Bloodstock/Hat Creek Racing	Donald Valpredo
Andrew Mckenzie	Bluewater Sales	Cave Brook Farm	Cross Keys Sales	Dorsey Jackson
Andrew Motion	Bo Hirsch	Centennial Farms	Crown Chase Farm	Douglas Scharbauer
Andrew Warren	Bob Grayson	Cesar Alcalá	Crowning Point Farm	Down the Stretch Racing
Andy Simoff	Bona Terra Stud	Champion Racing Stable	Crupper Bloodstock	Downtown Equine Investments
Angelinos Racing	Bona Venture Stables	Chanceland Farm	CTR Stables	Dr. & Mrs. Ruel Cowles
Ann W. Merryman	Bonne Chance Farm	Charles Fipke	Cunningham Stables	Dr. Charles Giles
Anthony J. Didier	Bortolazzo Stable	Charles Rabon	Cutair Racing	Dr. John R Cummins
Anthony J. Fontana	Boutte Training & Sales	Cherry Run Farm	Dale Shane Long	Dr. Roger Spiess
Anthony Margotta Jr	Boyd Browning	Chesapeake Farm	Dan Kobiskie	Dr. Terry L. Morgan
Anthony Pecoraro	Brad Hozack	Chester Bishop	Dancing Wind Stables/D & I Stables	Dreamfields
Armonte Craig	Bradford T. Ray	Chester and Mary Broman	Daniel Eubanks	Dromoland Farm
Armstrong Thoroughbreds	Bradley Thoroughbred	Chestertown Farm	Daniel Heim	Duncan Lloyd
Art DeNio	Bran Jam Stable	China Horse Club International	Daniel J Yates	Dundalk 5
Ashbrook Farm	Breezy Knob Farm	Choctaw Racing Stable	Daniel Preiss	Dutch Racing Stables
Ashview Farm	Brendan Walsh	Chris Carney	Darby Dan Farm	E 5 Racing Thoroughbreds
Asiel Stable	Bret Calhoun	Chris Drakos		Eagle Point Farm
Audley Farm				Eagle Ridge Racing
August Dawn Farm				

Earle I. Mack	Frankfort Park Farm	Harry Landry Bloodstock	Jay Em Ess Stable	Jon Jazdzewski
East Hickman Bloodstock	Franklin Avenue Equine	Hartwell Farm	Jay Hanley	Jonathan Maldonado
Eaton & Thorne	Fred & Linda Alexander	Harvey A. Clarke	Jay Reese	Jonathan Nance
Eaton Sales	Fred Allor	Havens Bloodstock Agency	JCE Racing	Jonathan Thorne
Ed Moger, Jr.	Fred Desimone	Haymarket	JD Farms	Jorge Luis Gonzalez
Eddie Kenneally	Fred P Schwartz	Heaven Trees Farm	JDLP Holdings Inc	Jorge Periban
Eddie Woods	Freyer Bloodstock	Hermitage Farm	Jeff Bonde Racing Stables	Jorge V. Gonzalez
Edition Farm	Gabe Grossberg	Hidden Brook Farm	Jeff Greenhill	Jose M. Garcia-Blanco
Edward Ortiz	Gainesway Farm	Hill 'N Dale	Jeffrey D. Kallenberg	Joseph Calvo
Eileen Matson	Garrencasey Sales	Hill 'n' Dale	Jeffrey Treadway	Joseph DiRico
EKQ Stables Corp	Gary & Angie Stevens	Hillcrest Farm	Jennifer Evancic	Joseph G. Bucci
Eladio Acevedo	Gary & Jane Chervenell	Hillwood Stable	Jeremiah Kane	Joseph Lowe
Elise W. Kendall	Gary K. Tussey	Hinkle Farms	Jeremiah O'Dwyer	Joseph V. Shields, Jr.
ELiTE	Gary Mayo	HMA 812	Jerome and Kristina Russell	JP Racing Stable
Elm Tree Farm	Gateway Thoroughbreds	Hobby Horse Stables	Jerry Caroom	JRita Young Thoroughbreds
EMFO Racing	Gateway to Sanity Stables	Hoolie Racing Stable	Jerry Charles Laughter	Juddmonte Farm
Empire Equines	Gatsas Stables	Hoppel's Horse & Cattle	Jerry S Brumley	Julianna Hawn Holt
English Range Farm	Gay Wynn	Horseplayers Racing Club	Jim & Susan Hill	Juve Stables
Epona Stables	Gayle Van Leer	Houston Farms	Jim Daniell	K-2
Equine Farm Management	Gene & Charlene Tenbrink	Hronis Racing	Jim Gladwell	Kaitar Racing
Eric Reed	Genesis Racing	Hugh H. Robertson	Jim Smith	Kallenberg Thoroughbreds
Erin McElwee	Gentry Stable	Hunter Valley Farm	Jim Tichenor	Karl Seitz
ERJ Racing	Geocel Ranch	Hurstland Farm	Jimbo and Torie Gladwell	Kate Lantaff Thoroughbreds
Ernest M Haynes	George Ruggiero, Jr.	I.P. Knelman	Joann Smith	Kathleen Willier
Ernest W Witt	George Weaver	Ian C. Howard	Job Turner	Kathryn Hegedus
Ernesto Fanilla	Gerard Stutchberry	Imagine...	Joe Merrick	Katie Lisowski
Ernie Sherman	Gerwyn Jones	Ina Bond	Joe R. Peacock	KatieRich Farms
Eugene E. Woolsey	Glen "Bo" Bromagen	Indian Creek Farm	John & Allegra Ernst	Keene Ridge Farm
Everything's Cricket Racing	Glen Oak Farm	Iron Sand Farm	John & Breeda Hayes	Keith Lancaster
Exclusive Equine Investments	Glenangus Farm	Ital-Cal Horse Management	John & Emma Krohn	Kelly Lively
Fairview Farms	Glendalough Farm	Ivery Sisters Racing	John A. Hancock	Ken Meyer
Falling Creek Partners	Glenn Sorgenstein	J Richard Perkins	John A. Stuart	Kennesaw Mountain Racing
Farfellow Farms	Godolphin	J S Stables	John C Oxley	Kenneth Fishbein
Fleetwood Bloodstock	Good Luck Farm (Ky)	J. Keith Desormeaux	John C. Kimmel	Kenneth McMahan
Fleming Thoroughbred Farm	Grandview Equine	J. Kirk & Judy Robison	John Ennis	Kevin Scaturchio
Flying Diamond Farm	Grassroots Training & Sales	J. R. Ward Stables	John Erdel	Khalid Mishref
Flying F Stable	GRD Two Racing	J.D. Business Ventures	John Gaynor	Kieron Magee
Forgotten Land Investments	Greenmount Farm	J.R. Caldwell	John Irwin	Kim Eshleman
Formal Bloodstock	Greg Verderber	Jack R. Swain	John Julia	Kim Smith
Foundations Farm	Greycross Stables	Jackpot Farm	John K Childs Sr	Kindergarten Farm
Fox Ridge Farm	Grovendale Farm	Jacob West	John McAllen	Kingfield Stables
Foxglove Stable	H & E Ranch	Jagger, Inc.	John Moirano	Kingsport Farm
Foxpointe Holdings	Handal Racing	James Anderson	John P. Warren	Kinross Corporation
Frances Paolangeli	Hannah Mathiesen	James D Stipp	John Parker	Kinsman Farm
Francis Daniel III	Happy Tenth Stable	James F. Gilmore	John Ropes	Kinsman Stud Farm
Francoise Dupuis	Hard Farm	James Jankiewicz	John Royer	Klaravich Stables/LRK Racing
Frank Bertolino	Hardacre Farm	James M. Connors	John Russell	
Frank DeMarco	Hare Hill Farm	James M. Herbener Jr.	John Servis	Konrad Wayson
Frank Russo	Harold A May	James P. Abel	John Sondereker	Kretz Racing
	Harris Farms	Jamie Ness	John T. Crane Jr.	Kueber Racing
	Harris Training Center	Janet Del Castillo	Joker Racing	L. G.

Lake Star Stable	Martin Valenzuela	Morton Robbins	Paul Aguirre	RGP Ocala Holdings
Lance R and Valerie Gabriel	Marvin Little, Jr. Estate	Moserwood Farm	Paul Bryan Miller	Rhapsody Farm/RF HF Bloodstock
Lane's End Farm	Mashnee Stables	Mossarosa	Paul Mouttet	Ricehorse Stables
Langsem Farm	Mason Springs	Mountmellick Farm	Paul Pompa, Jr.	Richard Ciavardone
Larry D. Williams	Mat Groff	Mulholland Farm	Peachtree Farm	Richard D Kessler
Larry Keyner Mejias	Mathis Stables	Mulholland Springs	Perry Harrison	Richard Davis
Late Night Stables	Matthew Lyons	N W Management	Pete Mattson	Richard Jackson
Lawrence M. Smith	Matthew Schera	Neal Allread	Peter A. Leidel	Richard Maloof
Lazy Lane Farm	Matties Racing Stable	Neal Coulardot	Peter E. Blum	Richard Schmollinger
LC Racing	McCarthy Racing	Ned Williams	Thoroughbreds	Richard Vega
Lee Lewis	McMahon & Hill Bloodstock	Needle In A Haystack	Peter Miller	Richard Wukich
Legacy Bloodstock	McMahon Of Saratoga	Newtown Anner Stud	Peter Shindel	Richie's World Stables
Legacy Ranch	Thoroughbreds	Farm/J. T. Magen & Co.	Phil Oliver	Rick Buckley
Leonard A Pelullo	McPeck Racing/Magdalena	Niall Brennan	Philip A Sims	Rick Kueber
Leonard Liberto	Farm	Niall Reilly	Philip Dicosmo	Rick Lopez
Leslie Hammond	Me II Racing	Niall Saville	Phillip Wright	Rick Taylor
Leverett & Linda Miller	Megan Kerford	Nick de Meric	Phipps Stable	Ridenjac Racing
Liebau Farms	Men's Grille Racing	Nick Hines	Phoenix Farm & Racing	Rigney Racing/JCM Racing
Lili Kobielski	Meritage	Nick Nosowenko	Phoenix Ladies Syndicate III	River Card Stable
Linda Gaudet	Mer-Lyn Farms	Nick Sanna	Phoenix Thoroughbred	River Ridge Ranch
Linda Walls	Merriebelle Farm	Nicky Drion	Pick View Stables	Riverknolls Farm
Lisa Wall	Merrill Roberts	Nils Johnson	Pinnacle Racing Stable	Riversedge Racing Stable
Little Man Farm	Michael Bello	NK Racing	Pioneer Pinhooking/ Wynnstay Farm	Robert & Erin Gorham
Little's Bloodstock	Michael De Broglio	Norma Lee Stockseth	Pope McLean	Robert & Mary Schreiber
LNJ Foxwoods	Michael Dubb	North American	Pope McLean Jr	Robert B. Hess, Jr.
Logan Farm	Michael L Milam	Thoroughbred/Hastings	Pristinus Thoroughbreds	Robert Bonnie
Longford Farm	Michael Lund Peterson	Racing Club	Q Bar J Thoroughbreds	Robert D. Bone
Looch Racing Stables	Michael Mellen	Northview Stallion Station	Qatar Racing/Qatar	Robert E. Hoeweler
Lorna Gray	Michael R. Di Tomasso	Novogratz Stables	Bloodstock Ltd	Robert L. Losey
Lotts Creek Farm/Dabbs	Michael R. Macey	Nursery Place	Quarter Pole Enterprises	Robert M. Wright
Hickman Hill & Cannon	Michael Repole	Nyala Farm/Kathleen	Quatrefoil Racing Stables	Robert Vukovich
LRE Racing	Michael Schmidt	O'Connell Racing Stable	Quincy Hoppel	Rocco Sperrazza
Lucas Downs	Michael Trombetta	O J Jauregui	R Marie Farms	Rock Brothers Breeding
Lucy Y. Hamilton	Michael Turpen	Oak Lodge USA	R.C. Cline Thoroughbreds	Rock House Farm
Maccabee Farm	Michele Castillo	Oakridge Farms	R3 Racing	Rocky Rashall
Machmer Hall/Select Sales	Michelle Nevin	Off The Hook	Rags Racing Stable	Rodrigo Nathanael Jaen
Madaket Stables	Midway Thoroughbreds	Old Chapel Farm	Ralph D'Amico	Roger Sterling
Magic Cap Stables	Miguel Carranza	Oliver Fortune	Ralph Ebert	Romans Racing & Sales
Mahoney Eden Manor	Mike Dini	Omar Ramirez	Randy Bradshaw	Agency
Manitou Farm	Mike Langford	Oracle Bloodstock	Raul Ramirez	Ronald Connelly
Marc Detampel	Mike Pietrangelo	Orchard Lane	Raymond & Dawn Mencio	Ronald James Paolucci
Marc McLean	Mike Schiano Di Cola	Thoroughbreds	Rebecca E. Maker	Ronald Paul Salerno
Marcelo Polanco	Milan Kosanovich	Oxmoor Sales	Rebecca Galbraith	Ronald Zielinski
Marefields Farm	Mill Ridge Farm	P J Arnold Bloodstock	Red Brick Farm	Ronney Brown Racing
Marjorie J Strieter	Mindy Powell-Hodges	Pamela C Ziebarth	Reddam Racing	Ronyld Wise
Mark & Julia Taylor	Monarch Stables	Paramount Sales	Redmon Farm	Rosalee C Davison
Mark Casse	Monte Turner	Parkway Farm	Reeves Thoroughbred	Rosecrest Farm
Mark Grier	Montesacro Farm	Parrish Farms	Racing	Royal Bloodstock Sales
Mark Mathiesen	Moonestone Farm	Patrick Hoppel	Reineman Bloodstock	Rudy Rodriguez
Marshall Silverman	Mopo Racing	Patrick Masson	Respect the Valleys	Ruis Racing
Martin S. Schwartz	Morrell Bloodstock	Patrick Q. Maguire	Respite Farm	
		Paul & Karen Eggert		

Run for Fun Racing	Shooting Star Thoroughbreds	Stuart Tsujimoto	Third Street Partners	Versatile Thoroughbreds
Russell Young	Shortleaf Stable	Sugar Maple Farm	Thomas Chalin	Very Unstable
Rusty Roberts	Sierra Farm	Sugarland Thoroughbreds	Thomas Swearingen	Victor Jiminez Sainz
Sackatoga Stables	Silver Springs Stud	Summer Wind Farm	Thomsen Racing	Vincent & Marie Colbert
Sally Thomas	Six Column Stables	Summerfield Sales	Thorndale Farm	Vinery Sales
Salt Mine Investments	Small Batch Thoroughbreds	Summerplace Farm	Threave Main Stud	Vineyard Racing
Sam M Vogel Jr	Smart Angle	Sun Kingdom Pty	Three Diamonds/DKW Racing	Vossfeld Bloodstock Group
Sam Semkin	Smitten Farm	Sunday Morning Thoroughbreds	Tigertail Ranch	W Rowland Hancock
Samantha Siegel	SMT Stables	Sunset Farm	Tim & Lisa Turney	W. Temple Webber
Sam-Son Farm	Solis/Litt	Super C Racing	Tim Kindlon	Warrendale Sales
Sanford R Robertson	Solitary Oak Farm	Super-Horse	Timber Town Stable	Watermark
Santa Ana Thoroughbreds	South Point Sales Agency/ Rockbridge Bloodstock	Susan King	Timothy A. Burr	Watershed Bloodstock
Saratoga Glen Farm	Southpace Properties	Swingley Farm	Tin Hut Hill Stables	Wavertree Stables
Saul Carrillo	Sovereign Farm	Sydney Rotunno	TNIP	Wayne Atwell
Scatter Creek Training	Speculation Equus	T/C Stable	Tobey L. Morton	Webb Carroll Training Center
Scott and Julie Johnson	Speedway Stables	Tall Oaks Farm	Todd Hansen	West Point Thoroughbreds
Scott Dilworth	Springhill Farm	Tamara Louise Hollingsworth	Todd M. Beattie	Westbrook Stables
Scott Gelner	Springhouse Farm	Tan Hyka	Tom McCrocklin	Wilfredo J. Agusti
Scott Kintz	Spruce Lane Farm	Taproot Bloodstock	Tommy Humphries	William Dees
Scott Mallory	St. George Sales	Tara Horse Farm	Tony Braddock	William E Riddle Jr
Scott Pierce	Stanfield Farm	Taste of Victory Stables	Tony Holmes	William S Reightler
Scotty L. Abbott	Starship Stables	Taylor Made Farm	Tony Metaxas	William T. Reed
Sea Jay Farm	Stein Racing	TDM Sales	Top Line Sales	Williamson Racing
Seagull Associates	Stephen Hope Sales	Team Work Racing Corp.	Tory Chapman	Willow Racing/Custom Care Equine
Seale Equine/Bird in Hand	Stephen M Viola Tbreeds	Ted Lucas	Tracy & Paula Henline	Winchell Thoroughbreds
Sean O'Connor	Stephen R Baker	Tee - N - Jay Farm	Travis Durr	Windways Farm
Sebastiano Ciaccio	Stephens Thoroughbreds	Ten Flat	Tricar Stable	Windylea Farm
Select Sales	Steven Laymon	Ten Strike Racing	Tristar Farm	Wintickett Farm
Sequel Bloodstock	Steven W. Young	Teresa Palmer	Trudy Veinot	Woodbridge Farm
Sequel Stallions New York	Stewart L. Armstrong	Terri Ligon	True to Form Bloodstock	Woodford Thoroughbreds
Seth Gregory Bloodstock	Stone Ridge Farm	Terril Ekstrom	Twin Hopes Farm	Woodland Farms
SGV Thoroughbreds/ Sportmans Stable 12	Stonecliff Farm	Terry & Barbra Lovingier	Two Hearts Farm	Woodline Farm/Beau Lane Bloodstock
Shadwell Farm	Stonegate Stables	Terry Clyde	Under Wraps Racing	Woodside Ranch
Shamrock Farm	Stonehedge	Terry Eoff	Unjin Jenkins	Woodvale Farm
Shamrock Hill Farm	Stoneleigh Farm	The Acorn	Upton Downs Farm	Wynoaks Farm
Shamrock Stables	Stoneway Farm	The Elkstone Group	Uptowncharlybrown Stud	Xavier International Bloodstock
Shawhan Place	Straightaway Farm	The New Hill Farm	V/R Racing	
Sheila Rosenblum	Stuart Morris Bloodstock	The Three Colleens Stable	Valter M. Ramos	
Sheltowee Farms	Stuart S. Janney III	Theodore J Pecoraro	Vann Belvoir	
Shepell Thoroughbreds			Veloudis Farm	



KEENELAND SALE DATES

<https://www.keeneland.com/sales>

January 11-14	January Horses of All Ages
March 23	Digital Sales Ring
April 26	April Horses of Racing Age
May 25	Digital Sales Ring
June 29	Digital Sales Ring
July 27	Digital Sales Ring

August 31	Digital Sales Ring
September 13-25	September Yearling
October 26	Digital Sales Ring
November 9-19	November Breeding Stock
December 14	Digital Sales Ring



**Sale dates subject to change; check website for updates.*



FASIG-TIPTON SALE DATES

fasigtipton.com



February 8-9	Kentucky Winter Mixed
March 31	The Gulfstream Sale
May 17-18	Midlantic Two-Year-Olds in Training
June 23	Santa Anita Two-Year-Olds in Training
July 12	July Selected Horses of Racing Age
July 13-14	The July Sale of Selected Yearlings
August 9-10	The Saratoga Sale of Selected Yearlings

August 15-16	New York Bred Yearlings
September 28	Santa Anita Fall Yearlings
October 4-5	Midlantic Fall Yearlings
October 18	The Saratoga Fall Sale
October 25-28	Kentucky October Yearlings
November 9	The November Sale of Selected Breeding Stock
December 7	Midlantic Winter Mixed & Horses of Racing Age

**Sale dates subject to change; check website for updates.*



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OCALA BREEDERS SALE DATES

obssales.com



January 26-27	Winter Mixed including Horses of Racing Age
March 16-17	March Sale of Two-Year-Olds in Training
April 20-23	Spring Sale of Two-Year-Olds in Training

June 9-11	June Two-Year-Olds & Horses of Racing Age
October 12	Selected Sale of Yearlings
October 13	Open Sale of Yearlings

**Sale dates subject to change; check website for updates.*



© Kristy Batie Photo

WASHINGTON THOROUGHBRED SALE DATES

washingtonthoroughbred.com/sales

August 24 Summer Yearling & Mixed Sale



**Sale dates subject to change; check website for updates.*



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CALIFORNIA THOROUGHBRED BREEDERS SALE DATES

ctba.com



January 6 January Mixed Sale

August 12 Northern California Sale

**Sale dates subject to change; check website for updates.*

WORKING FOR THE INDUSTRY IN D.C. - WITH YOUR HELP

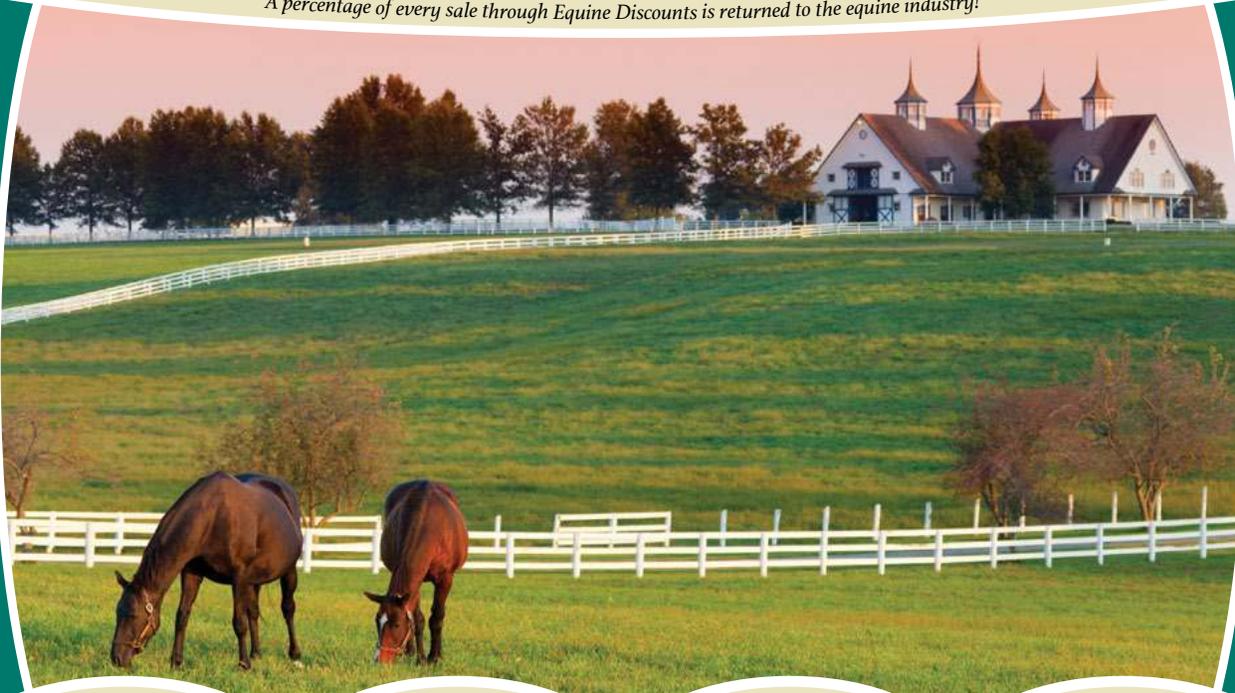
SHORT VIDEO EXPLAINS NTRA LEGISLATIVE STRATEGY AND ¼% CHECK-OFF PROGRAM

Click image to play video.



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- Construction Equipment - All members can save up to 26% on select equipment



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NTRA'S FEDERAL LEGISLATIVE STRATEGY

The NTRA's government relations team performs a broad array of legislative advocacy services for the horse racing and breeding industry. Working closely with the NTRA membership and Board of Directors, the team determines the industry's governmental priorities and then develops a comprehensive legislative strategy to achieve these priorities. The current strategy includes building relationships with members of Congress and their staffs, educating them on industry issues and concerns and introducing lawmakers and staff to industry members in the field.

The government relations team is in daily contact with The Alpine Group, the NTRA's lobbying arm based in Washington, D.C. The Alpine Group's guidance and expertise are invaluable and help move the horse industry's legislative agenda through Congressional processes. From meetings with Congressional staffers and members of Congress to bill drafting to committee mark-ups, hearing and votes, The Alpine Group provides the expertise essential to effective advocacy.

One essential element of an effective advocacy strategy is fundraising. The NTRA primarily builds financial support through its Legislative Action Campaign's ¼% Check-off Program at major Thoroughbred auctions. Industry members who buy and sell at Keeneland, Fasig-Tipton, Ocala Breeders' Sales Company, California Thoroughbred Breeders and Owners Association and the Washington Thoroughbred Breeders & Owners Association may contribute one quarter of one percent of each horse's sale price to help fund the Campaign.

Industry members also support NTRA fundraising through the Foal Program, an annual direct mail effort targeting owners and breeders who may not buy or sell at auction. Contributions start at \$50 per foal. Horseplayers who join the NHC Tour also are considered to be contributors to the NTRA's Legislative Action Campaign through their membership.

Campaign finance also is important to NTRA advocacy. The NTRA government relations team organizes and participates in fundraisers on behalf of Horse PAC®, the political action committee of the NTRA. Horse PAC® has disbursed nearly \$4.5 million to upwards of 300 federal political candidates and leadership PACs since 2002. [Click here to read the 2020 Horse PAC® Annual Report.](#)

Another component of the NTRA's government advocacy efforts is the NTRA Safety and Integrity Alliance. Formed in 2008, the Alliance promotes national uniformity through a national Code of Standards and Accreditation process covering six areas: 1) injury reporting and prevention; 2) a safer racing and training environment; 3) uniform medication, testing and penalties; 4) jockey safety and welfare; 5) aftercare and transition of retired racehorses; and 6) wagering security. The newly-passed Horseracing Integrity and Safety Act (HISA) legislation notes that the new Authority may extend provisional or interim accreditation to a racetrack accredited by the NTRA Safety and Integrity Alliance. The Alliance plans to begin the process of re-accrediting many of the 21 racetracks currently participating in and accredited by the Alliance prior to the expected launch of the Authority in 2022. The future of the Alliance once the Authority is fully operational has not been determined. Two possible scenarios include: 1) the accreditation program could be replaced by HISA in its entirety; or 2) the Alliance could work with the Authority to carry out track accreditations for the Authority using HISA's more stringent federally-mandated standards.

The horse racing industry is part of a \$50 billion agri-business touching every state. Each year, nearly \$11 billion is wagered on horse racing nationwide. Governmental regulation and oversight are critical to horse racing's economic development and long-term survival. For this reason, the NTRA dedicates significant resources to protecting and promoting horse racing on Capitol Hill.

Starting with a landmark 2000 amendment to the Interstate Horseracing Act that paved the way for online betting on pari-mutuel horse racing, the NTRA has built a significant presence on Capitol Hill. The NTRA strengthened its presence even as federal lobbying expenditures by all industries have skyrocketed. The chart below shows the tremendous growth in lobbying expenditures across all industries, including the gambling sector in particular.

YEAR	LOBBYING - ALL INDUSTRIES	LOBBYING - GAMBLING INDUSTRY
2000	\$1.56 billion	\$15.34 million
2020	\$3.49 billion	\$33.24 million

NTRA LEGISLATIVE ACTION CAMPAIGN FREQUENTLY ASKED QUESTIONS

What is the Legislative Action Campaign?

The Legislative Action Campaign empowers individuals and corporate members of the NTRA to support federal legislative advocacy services for the horse racing and breeding industry.

How is the Legislative Action Campaign funded?

The Legislative Action Campaign raises funds through three programs: the ¼% Check-off Program; the Foal Program; and National Horseplayers Championship (NHC) Tour membership. For the former, buyers and sellers may support the Campaign by pledging ¼ of one percent of the price of their horses sold at Keeneland, Fasig-Tipton, Ocala Breeders' Sales Company (OBS), California Thoroughbred Breeders Association, and Washington Thoroughbred Breeders and Owners Association. Every \$1,000 in a horse's sale price equates to \$2.50 to the Campaign. Individuals also may pledge contributions through the NTRA Foal Program. Horseplayers may support the Campaign when establishing NHC Tour membership.



How do I participate?

Sellers, consignors and designated agents may "pre-commit" their pledge on sales entry forms for the ¼% Check-off. Sellers who miss the deadline for pre-commitments may pledge until the close of sale billing. Buyers may include their contribution when submitting payment or by responding to an NTRA-generated invoice. Owners and breeders may contribute through the Foal Program by responding to the related annual direct mail campaign. Horseplayers may contribute when joining the NHC Tour at the highest level.



How can Federal lobbying help make the horse racing industry more competitive?

By securing tax legislation that benefits industry stakeholder groups like horse owners, breeders, racetracks, advance deposit wagering service providers and horseplayers and by addressing select issues that directly impact the economics of racing, such as taxation, Internet wagering, immigration, sports betting expansion and matters that affect farmers, ranchers and other agricultural producers.

How does the NTRA lobby on Capitol Hill?

NTRA fields a government relations team based in Lexington, KY and retains Washington, DC-based The Alpine Group to lobby on federal issues specific to pari-mutuel horse racing and breeding. NTRA coordinates with the American Horse Council on legislative advocacy issues that affect all equine breeds and disciplines.



NTRA FUNDRAISING PROGRAMS

What's the Difference?

	LEGISLATIVE ACTION CAMPAIGN	POLITICAL ACTION COMMITTEE
Common Names:	"¼ Percent Check-off Program"	"Horse PAC" or "NTRA PAC"
Contribution Uses:	Contributions are used to support Federal legislative advocacy to benefit the racing and breeding industries.	Contributions are not co-mingled with NTRA corporate funds and may only be used to support political candidates and parties at the Federal level.
Contribution Limits:	There is no limit on the amount that can be contributed. Individuals and corporate entities like partnerships and farms can participate.	\$5,000 per individual, per year. Spouses of individual members may also contribute \$5,000 each. Contributions from corporations are not accepted.
Payment Methods:	Thoroughbred buyers and sellers contribute ¼% of the price of a horse sold at public auction to the NTRA's Legislative Action Campaign. <i>Note these alternative ways to contribute:</i> Owners/breeders and others who do not participate in auction sales may contribute through the Foal Program or through direct contributions. NHC Tour players may contribute when joining.	Personal check or credit card (VISA, Discover, MasterCard or American Express), payable to Horse PAC* and addressed to: Casey Hamilton Horse PAC* 401 W. Main Street, Suite #222 Lexington, KY 40507 Horse PAC* now accepts online contributions
Deductibility:	Not tax deductible.	Not tax deductible.
Added benefits:	Contributors are automatically eligible to receive preferred pricing on products and services from companies like John Deere, Big Ass Fans, Farmers Insurance, Sherwin-Williams and Office Depot through NTRA Advantage Equine Discounts. Visit our new website www.EquineDiscounts.com or call toll free 866-678-4289 for more information.	Contributors are automatically eligible to receive preferred pricing on products and services from companies like John Deere, Big Ass Fans, Farmers Insurance, Sherwin-Williams and Office Depot through NTRA Advantage Equine Discounts. Visit our new website www.EquineDiscounts.com or call toll free 866-678-4289 for more information.
Membership:	Participants automatically become NTRA members and may be PAC-eligible (see column to the right).	NTRA members and members of NTRA-affiliated organizations are eligible.
Citizenship Requirements:	Open to U.S. citizens and foreign nationals.	Contributors must be U.S. citizens or permanent resident aliens.
NTRA Contact:	Joe Bacigalupo 859-422-2677 joeb@ntra.com	Alex Waldrop 859-422-2602 awaldrop@ntra.com

INDUSTRY THANK YOU

The NTRA extends its sincere appreciation to the following industry leaders for their generous support of the federal Legislative Action Campaign.



BLOOD-HORSE • BREEDERS' CUP • DAILY RACING FORM • DEL MAR • THE ECLIPSE AWARDS
• FLORIDA THOROUGHBRED BREEDERS' AND OWNERS' ASSOCIATION • NEW YORK
THOROUGHBRED BREEDERS, INC • NEW YORK THOROUGHBRED HORSEMEN'S ASSOCIATION •
NATIONAL HORSEPLAYERS CHAMPIONSHIP • THE SARATOGA SPECIAL • THOROUGHBRED DAILY
NEWS • TEXAS HORSEMEN'S PARTNERSHIP • THOROUGHBRED OWNERS OF CALIFORNIA



FOR MORE INFORMATION CONTACT:

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www.SupportHorseRacing.org